



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Schloesser, et al.

Docket No.:

INF-135

Serial No.:

10/777,128

Art Unit:

2818

Filed:

February 13, 2004

Examiner:

TBD

For:

Method of Fabricating and Architecture for Vertical Transistor Cells and

Transistor-Controlled Memory Cells

Certificate of Mailing via First Class Mail (37 C.F.R. § 1.8(a))

Date of Deposit:

August 11, 2004

I hereby certify that the below listed correspondence is being deposited with the United States Postal Service on the date indicated above as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Certificate of Mailing via First Class Mail (1 page)
Information Disclosure Statement (1 page)
IDS Form PTO/SB/08a (1 page) citing (7) references
Copies of (4) cited references
Transmittal of Certified Copy of Priority Document (1 page)
Certified Copy of DE 103 06 281.5
Return Postcard

Respectfully submitted,

Matalie Swider

Natalie Swider Legal Assistant

Slater & Matsil, L.L.P. 17950 Preston Rd., Suite 1000 Dallas, TX 75252

Tel: 972-732-1001 Fax: 972-732-9218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Schloesser, et al.

Attorney Docket: INF-135

Filed:

February 13, 2004

Examiner:

TBD

Serial No.:

10/777,128

Art Unit:

2818

For:

Method of Fabricating and Architecture for Vertical Transistor Cells and Transistor-

Controlled Memory Cells

Mail Stop: Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants wish to bring to the attention of the Patent and Trademark Office the information noted on the enclosed form PTO/SB/08a that may be considered material to the examination of the above-identified application.

No fee is due at this time, as this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b)(3), before the mailing of a first Office action on the merits.

August 11, 2004

Date

Respectfully submitted,

Attorney for Applicants

Reg. No. 35,272

Slater & Matsil, L.L.P. 17950 Preston Rd., Suite 1000 Dallas, TX 75252 (972) 732-1001 (phone) (972) 732-9218 (fax)

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

		Act of 1995, no persor	is are required to res	spond to a collection of information	on unless it displays a valid OMB control number	
ADE	Substitute for form 1449A/PTO			Complete if Known		
				Application Number 10/777,128		
	INFORMATIO			Filing Date	2/13/2004	
STATEMENT BY APPLICANT				First Named Inventor	Schloesser, et al.	
	(lise as many si	neets as necessary)		Art Unit	2818	
	(555 45 11.11) 511555 45 115555521)		Examiner Name	TBD		
Sheet	1	of	1	Attorney Docket Number	INF-135	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-4,250,519	02-10-1981	Mogi, et al.			
	2	US-6,355,520 B1	03-12-2002	Park, et al.			
	3	US-6,492,221 B1	12-10-2002	Hofmann, et al.			

	!						

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
	4	JP - 61140170 A	06-27-1986	Toshiba Corp			
	5	DE - 101 25 967 C1	07-11-2002	Infineon Technologies AG			
	6	DE - 198 45 004 A1	04-13-2000	Siemens AG			
	7	DE - 101 28 928 A1	01-03-2002	Samsung Electronics Co Ltd			
	<u> </u>						
						-	
	├					-	
				1		ì	

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.